

# DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below), or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

"Cell Growth Substrates with Tethered Cell Growth Effector Molecules"  
the specification of which (check one)

\_\_\_\_\_ is attached hereto

  x   was filed on March 3, 1995  
as application Serial No. 08/398,555

\_\_\_\_\_ and was amended on: \_\_\_\_\_  
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

| Prior Foreign Application(s) |                    |                                 | <u>Priority<br/>Claimed</u> |
|------------------------------|--------------------|---------------------------------|-----------------------------|
| _____<br>(Number)            | _____<br>(Country) | _____<br>(Day/Month/Year Filed) | _____                       |
| _____<br>(Number)            | _____<br>(Country) | _____<br>(Day/Month/Year Filed) | _____                       |
| _____<br>(Number)            | _____<br>(Country) | _____<br>(Day/Month/Year Filed) | _____                       |

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of the application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

|                                   |                        |   |
|-----------------------------------|------------------------|---|
| _____<br>(Application Serial No.) | _____<br>(Filing Date) | _____<br>Status<br>(patented, pending, abandoned) |
| _____<br>(Application Serial No.) | _____<br>(Filing Date) | _____<br>Status<br>(patented, pending, abandoned) |

U.S. Serial No. 08 398,555

Filed: March 3, 1995

By: Linda G. Cima, et al.

DECLARATION FOR PATENT APPLICATION

As named inventor, I hereby appoint the following attorney(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

|                      |        |
|----------------------|--------|
| Patrea L. Pabst      | 31,284 |
| Madeline I. Johnston | 36,174 |

Send Correspondence to: Patrea L. Pabst, Esq.  
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Atlanta, Georgia 30309-3450

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor Linda G. Cima

Inventor's signature [Signature] Date 4/19/95

Residence 2101 Massachusetts Avenue, Lexington, MA 02173

Citizenship U.S.

Post Office Address Same as above

Full name of second joint inventor (if any) Edward W. Merrill

Inventor's signature [Signature] Date 4/19/95

Residence 90 Somerset Street, Belmont, MA 02178

Citizenship U.S.

Post Office Address Same as above

Full name of third joint inventor (if any) Philip R. Kuhl

Inventor's signature [Signature] Date 4/19/95

Residence 2 Smith Street, Arlington, MA 02174

Citizenship U.S.

Post Office Address Same as above



**Applicant or Patentee:** Linda G. Cima, et al. **Attorney's**  
**Serial or Patent No.:** 08/398,555 **Docket No.:**  
**Filed or Issued:** March 3, 1995 MIT6210  
**For:** CELL GROWTH SUBSTRATES WITH TETHERED  
CELL GROWTH EFFECTOR MOLECULES

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) and 1.27(d)) - NONPROFIT ORGANIZATION**

I hereby declare that I am an official empowered to act on behalf of the  
nonprofit organization identified below:

**NAME OF ORGANIZATION:** Massachusetts Institute of Technology

**ADDRESS OF ORGANIZATION:** 77 Massachusetts Avenue  
Cambridge, Massachusetts 02139

**TYPE OF ORGANIZATION:**

- ☒ **UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION**
- ☐ **TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE  
(26 USC 501(a) and 501(c)(3))**
- ☐ **NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE  
OF STATE OF THE UNITED STATES OF AMERICA**
- ☐ **WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE  
SERVICE CODE (26 USC 501(a) and 501(c)(3)) IF LOCATED  
IN THE UNITED STATES OF AMERICA**
- ☐ **WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL  
UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA  
IF LOCATED IN THE UNITED STATES OF AMERICA**

I hereby declare that the nonprofit organization identified above qualifies as a  
nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees  
under section 41(a) and (b) of Title 35, United States Code with regard to the invention  
entitled "Cell Growth Substrates with Tethered Cell Growth Effector  
Molecules"

## VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS

by inventor(s) described in

☐ the specification filed herewith

☒ application serial no. 08/398,555, filed March 3, 1995

☐ patent no. \_\_\_\_\_ issued \_\_\_\_\_

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above-identified invention. If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

**\*NOTE:** Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORG.

NAME: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORG.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

U.S.S.N. 08/398,555

Filed: March 3, 1995

VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING:


Jarmila Z. Hrbek

TITLE IN ORGANIZATION:

Patent Administrator and Office Manager

ADDRESS OF PERSON SIGNING:

28 Carleton Street, Room E32-300  
Cambridge, MA 02142-1234

  
SIGNATURE

May 1, 1995  
DATE

CERTIFICATE UNDER 37 CFR 3.73(b)

Applicant: Linda G. Cima, et al.

Application No.: 08/398,555 Filed: March 3, 1995

For: CELL GROWTH SUBSTRATES WITH TETHERED CELL GROWTH EFFECTOR MOLECULES

Massachusetts Institute  
of Technology

(Name of Assignee)

, a corporation, university

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplement sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and believe are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date : May 1, 1995

Name : Jarmila Z. Hrbek

Title : Patent Administrator and Office Manager

Signature : Jarmila Z. Hrbek